# Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

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Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP)</u>	
Court ID (Court Use Only)	

## NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: Jürg Schnider	Name of Transferor: UBS AG
Notices to Transferee should be sent to: Jürg Schnider Südstr. 56 CH-8157 Dielsdorf Tel +41 43 311 55 17 and Zürcher Kantonalbank Special Corporate Actions P.O. Box 8010 Zurich, Switzerland Fax +41 44 292 86 64 Tel +41 44 292 42 98 Attn: Gabriele Frossard	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):  Jürg Schnider Südstr. 56 CH-8157 Dielsdorf  Amount of Claim Being Transferred:	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
CHF 20'000.00 (face amount of securities)	ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
Court Claim No. (if known): 59233	
Date Claim Filed: October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best

of my knowledge and belief.

Zürcher Kantonalbank

Transferee's Agent Thomas **Seebacher** Gabriele Frossard

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Date:

#### **EVIDENCE OF TRANSFER OF CLAIM**

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"): CHF 20'000

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Certificates Lehman Bros Treasury BV 2007-21.2.2012 on SMI Index	CH0027120671	Lehman Brothers Treasury BV	Lehman Bros Holding Inc.	1

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 1 and in lines 37 of the Addendum to the Proof of Claim.

**UBS AG**, ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Jürg Schnider

Südstrasse 56, CH-8157 Dielsdorf

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.



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You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated July 4, 2011.

**UBS AG** 

Transferor

Ву:

Name: Hugo Koller Title: Director

By:

Name. Jean-Claude Bessor Title: Associate Director Registered Mail

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Inc. Claims Processing 757 Third Avenue, 3<sup>rd</sup> Floor New York, NY 10017 USA Bahnhofstr. 9, CH-8001 Zurich Postal address: P.O. Box, CH-8010, Zurich

Reference Special Actions LOAV7
Contact Gabriele Frossard
E-mail corporateactions@zkb.ch

Direct tel. +41 44 292 76 67 Fax +41 44 292 86 64

Zurich, 4-Jul-11

# Partial Transfer of Claim "Lehman Brothers Holdings Inc."

Dear Sir or Madam

Please find enclosed, both the "Notice Pursuant to Bankruptcy Rule 3001" and the orginally signed "Evidence of Transfer of Claim" released by UBS AG on July 04, 2011.

We kindly ask you to process the partial transfer of claim:

ISIN:

CH0027120671

Titel:

Lehman Brothers - Certificates 2007-21.2.12 (Exp.14.2.12) On SMI Index

Nominal:

CHF 20,000

Transferor:

**UBS AG** 

Transferee:

Jürg Schnider

Initial Proof of Claim Number: 59233

Please confirm us the receipt. We thank you in advance.

Yours sincerely

Zürcher Kantonalbank

- "Notice Pursuant to Bankruptcy Rule 3001"

- "Evidence of Transfer of Claim"

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